

Memorandum



Metropolitan Transportation Authority

State of New York

May 24, 2022

Mr. Rahul Jain
Office of the State Comptroller
59 Maiden Lane, 29th Floor
New York, New York 10038

Dear Mr. Jain,

This represents the MTA's First Quarter, 2022, report concerning the status of its gap closing initiatives (ASA/BRP/PEG) as required by the NY State Comptroller's Regulation 4, Section 202.5 (c). According to the Regulation, the MTA must report "each quarter, until implemented or rescinded, the status of each gap-closing initiative with a projected value equal to or greater than \$1 million in any given fiscal year, including milestones, impact on staffing, current implementation status, actual savings or revenues to date and projected annual savings or revenues in comparison to Budget and Plan projections."

Attached is a summary of the results of the 1st Quarter Monitoring Program for 2022, as well as copies of the Monitored Milestone Reports. The summary shows that the MTA will track gap closing initiatives with an annual value of \$282.5 million with a reduction of 26 positions. Actual 1st Quarter savings of \$61.5 million represents 56.5% of the first quarter goal and 21.8% of the planned annual savings, with a reduction of 26 positions.

If you have any questions, please do not hesitate to call.

Sincerely,

A handwritten signature in black ink, appearing to read "DKeller".

David Keller
Director, Consolidated Analysis, Office of Management & Budget

cc: Kevin Willens
Janno Lieber
Jai Patel
M. Young
K. DeDonno

F. Chou
R. Perricelli
K. Leopold
L. Liberto (OSDC)

Metropolitan Transportation Authority
1st Quarter 2022
ASA Monitoring Summary
Combined 2022 (results through March)
(\$ in millions)

MTA Agencies	2022 ASA's Monitored * (Full Year)		1st Quarter Results (ytd)				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2022 Implemented at end of Quarter (%)
New York City Transit **	26	249.153	100.487	55.004	(45.483)	54.7%	22.1%
Long Island Rail Road	0	22.051	5.513	4.235	(1.278)	76.8%	19.2%
Metro-North Railroad	0	11.252	2.813	2.224	(0.589)	79.1%	19.8%
MTA Bridges & Tunnels	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Headquarters	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA CONSOLIDATED	26	282.456	108.812	61.463	(47.349)	56.5%	21.8%

* The value of the monitored PEGs reflects re-estimates captured through the November 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
1st Quarter 2022
ASA Monitoring Summary
2022 Overtime Spending Reduction (results through March)
(\$ in millions)

MTA Agencies	2022 ASA's Monitored *		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2022 Implemented at end of Quarter (%)
New York City Transit **	11	198.222	49.556	22.861	(26.694)	46.1%	11.5%
Long Island Rail Road	0	22.051	5.513	4.235	(1.278)	76.8%	19.2%
Metro-North Railroad	0	11.252	2.813	2.224	(0.589)	79.1%	19.8%
MTA Bridges & Tunnels	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Headquarters	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA CONSOLIDATED	11	231.525	57.881	29.321	(28.561)	50.7%	12.7%

* The value of the monitored PEGs reflects re-estimates captured through the November 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
1st Quarter 2022
ASA Monitoring Summary
2022 Consulting Contract Reduction ASA's (results through March)
(\$ in millions)

MTA Agencies	2022 ASA's Monitored * (Full Year)		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2022 Implemented at end of Quarter (%)
New York City Transit **	0	0.865	0.865	1.395	0.530	>100.0%	>100.0%
Long Island Rail Road	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
Metro-North Railroad	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Bridges & Tunnels	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Headquarters	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA CONSOLIDATED	0	0.865	0.865	1.395	0.530	>100.0%	>100.0%

* The value of the monitored PEGs reflects re-estimates captured through the November 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
1st Quarter 2022
ASA Monitoring Summary
2022 Non-Personnel Expense Reduction ASA's (results through March)
(\$ in millions)

MTA Agencies	2022 ASA's Monitored *		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2022 Implemented at end of Quarter (%)
New York City Transit **	14	47.917	47.917	30.211	(17.706)	63.0%	63.0%
Long Island Rail Road	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
Metro-North Railroad	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Bridges & Tunnels	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Headquarters	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA CONSOLIDATED	14	47.917	47.917	30.211	(17.706)	63.0%	63.0%

* The value of the monitored PEGs reflects re-estimates captured through the November 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
1st Quarter 2022
ASA Monitoring Summary
2022 Additional BRP's (results through March)
(\$ in millions)

MTA Agencies	2022 ASA's Monitored *		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2022 Implemented at end of Quarter (%)
New York City Transit **	1	2.149	2.149	0.537	(1.612)	25.0%	25.0%
Long Island Rail Road	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
Metro-North Railroad	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Bridges & Tunnels	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Headquarters	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA CONSOLIDATED	1	2.149	2.149	0.537	(1.612)	25.0%	25.0%

* The value of the monitored PEGs reflects re-estimates captured through the November 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
2022 MTA NYCT ASA Monitoring Program
1st Quarter 2022 results

In 2022, 15 ASA's are being monitored with a full-year savings of \$249.2 million and a reduction of 26 positions.

Results through the first quarter show that \$55.0 million or 54.7% of the planned ASA reductions of \$100.5 million was realized and 26 positions were reduced. Additionally, 22.1% of the planned full-year savings has been realized.

The following ASA's did not reach the targeted savings for the quarter:

- NYCT OT-2
 - Reduce Weather-Related Overtime
 - Did not achieve Q1 weather overtime BRP due to significant winter storm at end of January and heavy rain in March.
- NYCT OT-3
 - Reduce Overtime Costs for All Other Departments
 - Q1 savings not achieved. Unfavorable results due to vacancies and poor availability in Department of Security and Supply Logistics.
- NYCT OT-4
 - Reduce Overtime Costs for Department of Buses
 - Unfavorable results due to vacancies and poor availability.
- NYCT OT-5
 - Reduce Overtime Costs for Department of Subways
 - Unfavorable results due to vacancies and poor availability.
- NYCT NP-15
 - Reduce Card Fees
 - Overrun in bank fees was due to continue suspension of cash transactions of MetroCard sales at station booths.

MTA New York City Transit
2022 ASA Monitoring Milestone Report
1st Quarter 2022 (Actual Results through March)

ASA Name: Overtime Spending Reductions

ASA Description: 5 ASA's are being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20					198.222	11	197.975	11	197.978	11

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	16.519	16.519	16.519	16.519	16.519	16.519	16.519	16.519	16.519	16.519	16.519	16.519	198.222
Actual/Proj.	13.961	2.504	6.397	-	-	-	-	-	-	-	-	-	22.861
Month Var.	(2.558)	(14.015)	(10.122)	(16.519)	(16.519)	(16.519)	(16.519)	(16.519)	(16.519)	(16.519)	(16.519)	(16.519)	
YTD Var.	(2.558)	(16.572)	(26.694)	-	-	-	-	-	-	-	-	-	(175.361)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
2022 ASA Monitoring Milestone Report
1st Quarter 2022 (Actual Results through March)

ASA Name: Consulting Contract Reduction

ASA Description: 2 ASA's are being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20					0.865	0	0.615	0	0.365	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.865
Actual/Proj.	0.552	0.443	0.400	-	-	-	-	-	-	-	-	-	1.395
Month Var.	0.480	0.370	0.328	(0.072)	(0.072)	(0.072)	(0.072)	(0.072)	(0.072)	(0.072)	(0.072)	(0.072)	
YTD Var.	0.480	0.850	1.178	-	-	-	-	-	-	-	-	-	0.530

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
2022 ASA Monitoring Milestone Report
1st Quarter 2022 (Actual Results through March)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 7 ASAs are being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20					47.917	14	33.691	14	33.541	14

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	3.993	3.993	3.993	-	-	-	-	-	-	-	-	-	47.917
Actual/Proj.	20.474	6.316	3.420	-	-	-	-	-	-	-	-	-	30.211
Month Var.	16.481	2.323	(0.573)	-	-	-	-	-	-	-	-	-	
YTD Var.	16.481	18.804	18.231	-	-	-	-	-	-	-	-	-	18.231

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
2022 ASA Monitoring Milestone Report
1st Quarter 2022 (Actual Results through March)

ASA Name: BRPs that are not captured under the ASA Initiative or were not fully implemented

ASA Description: 1 BRP is to be monitored in 2022

ASA Status:

ASA VALUE: (in millions)

Original Plan:	Numerous	2020		2021		2022		2023		2024	
			Pos.		Pos.		Pos.		Pos.		Pos.
						2.149	1	2.149	1	2.149	1

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.179	0.179	0.179	0.179	0.179	0.179	0.179	0.179	0.179	0.179	0.179	0.179	2.149
Actual/Proj.	0.179	0.179	0.179	-	-	-	-	-	-	-	-	-	0.537
Month Var.	-	-	-	-	-	-	-	-	-	-	-	-	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(1.612)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
Consulting Contract Reduction Initiatives
1st Quarter 2022 (Actual Results through March)

Description			Positions		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total				
			Projected	Eliminated																	
NYCT	CC	2	DoB Facility & Bus Maintenance Reduction	0	0	Yearly												\$ 0.365			
				Monthly	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.365	
				Actual	\$ 0.469	\$ 0.359	\$ 0.317														\$ 1.145
				Month Var.	\$ 0.438	\$ 0.329	\$ 0.286	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$ (0.030)	\$
				YTD Var.	\$ 0.438	\$ 0.767	\$ 1.053														\$
NYCT	CC	4	Reduce Bus Network Redesign Project	0	0	Yearly													\$ 0.500		
				Monthly	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.500	
				Actual	\$ 0.083	\$ 0.083	\$ 0.083														\$ 0.250
				Month Var.	\$ 0.042	\$ 0.042	\$ 0.042	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$
				YTD Var.	\$ 0.042	\$ 0.083	\$ 0.125														\$
Consulting Consolidated			0	0	Yearly													\$ 0.865			
			Monthly	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.072	\$ 0.865		
			Actual	\$ 0.552	\$ 0.443	\$ 0.400	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1.395	
			Month Var.	\$ 0.480	\$ 0.370	\$ 0.328	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$ (0.072)	\$	
			YTD Var.	\$ 0.480	\$ 0.850	\$ 1.178														\$	0.530

Metropolitan Transportation Authority
2022 MTA LIRR ASA Monitoring Program
1st Quarter 2022 results

In 2022, 4 ASA's are being monitored with a full-year savings of \$22.1 million and a reduction of 0 positions.

Results through the first quarter show that \$4.2 million or 76.8% of the planned ASA reductions of \$5.5 million was realized and 0 positions were reduced. Additionally, 19.2% of the planned full-year savings has been realized.

The following ASA's did not reach the yearly targeted savings through the 2nd quarter:

- LIRR OT-4
 - Reduce Weather-Related Overtime
 - The LIRR failed to meet the 50% reduction in weather overtime due to several weather events in the first quarter. The most notable storm was Winter Storm Kenan on January 30, 2022.

MTA Long Island Railroad
2022 ASA Monitoring Milestone Report
1st Quarter 2022 (Actual Results through March)

ASA Name: Overtime Spening Reductions

ASA Description: 4 ASA's are being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	4.507	0	20.837	0	22.051	0	22.249	0	21.925	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	1.838	1.838	1.838	1.838	1.838	1.838	1.838	1.838	1.838	1.838	1.838	1.838	22.051
Actual/Proj.	1.412	1.412	1.412	-	-	-	-	-	-	-	-	-	4.235
Month Var.	(0.426)	(0.426)	(0.426)										
YTD Var.	(0.426)	(0.852)	(1.278)										(1.278)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

**Metropolitan Transportation Authority
2022 MTA MNR ASA Monitoring Program
1st Quarter 2022 results**

In 2022, 2 ASA's are being monitored with a full-year savings of \$11.3 million and a reduction of 0 positions.

Results through the first quarter show that \$2.2 million or 79.1% of the planned ASA reductions of \$2.8 million was realized and 0 positions were reduced. Additionally, 19.8% of the planned full-year savings has been realized.

All ASA's met the targeted savings for the quarter, except:

- MNR OT-1
 - Maintain the June 2020 East of Hudson Reduced Service
 - In June 2021, Metro-North enhanced its peak service, adding 24 trains during the peak periods on the East of Hudson lines. In August 2021, MNR increased full weekday service to 82% of the pre-pandemic schedule and weekend service to full pre-pandemic levels. In March 2022, MNR increased full weekday service to 89% of the pre-pandemic schedule and weekend service to full pre-pandemic levels.

MTA Metro-North Railroad
2022 ASA Monitoring Milestone Report
1st Quarter 2022 (Actual Results through March)

ASA Name: Overtime Spening Reductions

ASA Description: 2 ASA's are being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20					11.252	0	12.292	0	12.559	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.938	0.938	0.938	0.938	0.938	0.938	0.938	0.938	0.938	0.938	0.938	0.938	11.252
Actual/Proj.	0.741	0.741	0.741	-	-	-	-	-	-	-	-	-	2.224
Month Var.	(0.196)	(0.196)	(0.196)	(0.938)	(0.938)	(0.938)	(0.938)	(0.938)	(0.938)	(0.938)	(0.938)	(0.938)	
YTD Var.	(0.196)	(0.392)	(0.589)	-	-	-	-	-	-	-	-	-	(9.028)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

