

Memorandum



Metropolitan Transportation Authority

State of New York

November 18, 2021

Mr. Rahul Jain
Office of the State Comptroller
59 Maiden Lane, 29th Floor
New York, New York 10038

Dear Mr. Jain,

This represents the MTA's Third Quarter, 2021, report concerning the status of its Agency Savings Actions (ASA's) initiatives, initiated in the 2021 February Financial Plan. The MTA is required by the NY State Comptroller's Regulation 4, Section 202.5 (c). to report "each quarter, until implemented or rescinded, the status of each gap-closing initiative with a projected value equal to or greater than \$1 million in any given fiscal year, including milestones, impact on staffing, current implementation status, actual savings or revenues to date and projected annual savings or revenues in comparison to Budget and Plan projections." In this report, the MTA is reporting on all savings initiatives, no matter the projected value.

Attached is a summary of the results of the 3rd Quarter ASA Monitoring Program for 2021, as well as copies of the ASA Monitoring Milestone Reports. The summary shows that the MTA will track gap closing initiatives with an annual value of \$653.0 million with a reduction of 459 positions. Actual 3rd Quarter savings of \$429.3 million represents 80.4% of the third quarter goal and 52.9% of the planned annual savings, with a reduction of 458 positions.

If you have any questions, please do not hesitate to call.

Sincerely,

A handwritten signature in black ink, appearing to read "DKeller".

David Keller
Director, Consolidated Analysis, Office of Management & Budget

cc: Janno Lieber
L. Schwartz
B. Foran
Jai Patel
M. Young
K. DeDonno
R. Perricelli
K. Leopold
L. Liberto (OSDC)

Metropolitan Transportation Authority
3rd Quarter 2021 Year-To-Date
ASA Monitoring Summary
Combined 2021 (results through September)
(\$ in millions)

MTA Agencies	2021 ASA's Monitored * (Full Year)		3rd Quarter Results (ytd)				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2021 Implemented at end of Quarter (%)
New York City Transit **	459	423.222	262.808	182.082	(80.727)	69.3%	43.0%
Long Island Rail Road	0	52.801	39.157	38.809	(0.348)	99.1%	73.5%
Metro-North Railroad	0	44.723	30.369	27.314	(3.055)	89.9%	61.1%
MTA Bridges & Tunnels	0	29.129	20.192	20.192	0.000	100.0%	69.3%
MTA Headquarters	0	103.114	76.742	76.742	0.000	100.0%	74.4%
MTA CONSOLIDATED	459	652.990	429.268	345.139	(84.130)	80.4%	52.9%

* The value of the monitored PEGs reflects re-estimates captured through the July 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
3rd Quarter 2021 Year-To-Date
ASA Monitoring Summary
2021 Overtime Spending Reduction (results through September)
(\$ in millions)

MTA Agencies	2021 ASA's Monitored *		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2021 Implemented at end of Quarter (%)
New York City Transit **	11	176.675	132.506	64.293	(68.213)	48.5%	36.4%
Long Island Rail Road	0	20.837	16.436	16.089	(0.348)	97.9%	77.2%
Metro-North Railroad	0	11.683	8.392	7.208	(1.184)	85.9%	61.7%
MTA Bridges & Tunnels	0	4.677	3.508	3.508	0.000	100.0%	75.0%
MTA Headquarters	0	0.449	0.337	0.337	0.000	100.0%	75.0%
MTA CONSOLIDATED	11	214.321	161.179	91.434	(69.745)	56.7%	42.7%

* The value of the monitored PEGs reflects re-estimates captured through the July 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
3rd Quarter 2021 Year-To-Date
ASA Monitoring Summary
2021 Consulting Contract Reduction ASA's (results through September)
(\$ in millions)

MTA Agencies	2021 ASA's Monitored * (Full Year)		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2020 Implemented at end of Quarter (%)
New York City Transit **	0	10.945	7.623	6.167	(1.456)	80.9%	56.3%
Long Island Rail Road	0	3.693	1.777	1.777	0.000	100.0%	48.1%
Metro-North Railroad	0	2.487	1.865	1.865	0.000	100.0%	75.0%
MTA Bridges & Tunnels	0	8.388	5.642	5.642	0.000	100.0%	67.3%
MTA Headquarters	0	83.047	62.285	62.285	0.000	100.0%	75.0%
MTA CONSOLIDATED	0	108.560	79.192	77.736	(1.456)	98.2%	71.6%

* The value of the monitored PEGs reflects re-estimates captured through the July 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
3rd Quarter 2021 Year-To-Date
ASA Monitoring Summary
2021 Non-Personnel Expense Reduction ASA's (results through September)
(\$ in millions)

MTA Agencies	2021 ASA's Monitored * (Full Year)		"Planned" Savings				
			"Planned" Savings	"Realized" Savings	Variance Fav/(Unfav)	"Realized" Savings as % of "Planned" Savings	% of 2021 Implemented at end of Quarter
	Pos	(\$)	(\$)	(\$)	(\$)	(%)	(%)
New York City Transit **	35	151.826	80.791	48.900	(31.890)	60.5%	32.2%
Long Island Rail Road	0	28.270	20.944	20.944	0.000	100.0%	74.1%
Metro-North Railroad	0	30.553	20.112	18.241	(1.872)	90.7%	59.7%
MTA Bridges & Tunnels	0	16.064	11.042	11.042	0.000	100.0%	68.7%
MTA Headquarters	0	19.618	14.120	14.120	0.000	100.0%	72.0%
MTA CONSOLIDATED	35	246.332	147.009	113.247	(33.762)	77.0%	46.0%

* The value of the monitored PEGs reflects re-estimates captured through the July 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
3rd Quarter 2021 Year-To-Date
ASA Monitoring Summary
2021 Additional BRP's (results through September)
(\$ in millions)

MTA Agencies	2021 ASA's Monitored *		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2021 Implemented at end of Quarter (%)
New York City Transit **	413	83.776	62.832	62.721	(0.111)	99.8%	74.9%
Long Island Rail Road	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
Metro-North Railroad	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Bridges & Tunnels	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Headquarters	0	1.690	1.236	1.236	0.000	100.0%	73.1%
MTA CONSOLIDATED	413	85.466	64.068	63.957	(0.111)	99.8%	74.8%

* The value of the monitored PEGs reflects re-estimates captured through the July 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
2021 MTA NYCT ASA Monitoring Program
3rd Quarter 2021 results

In 2021, 37 ASA's are being monitored with a full-year savings of \$423.2 million and a reduction of 459 positions.

Results through the third quarter show that \$182.1 million or 69.3% of the planned ASA reductions of \$262.8 million was realized and 458 positions were reduced. Additionally, 43.0% of the planned full-year savings has been realized.

The following ASA's did not reach the targeted savings for the 3rd quarter or YTD:

- NYCT OT-2
 - Reduce Weather-Related Overtime
 - In Q3 Hurricane IDA caused significant citywide flooding and cleanup expenses. In Q2 we had two heavy rain events resulted in overrun. In the 1st quarter we experienced two significant snow storms that resulted in unfavorable variance against budget.
- NYCT OT-4
 - Reduce Overtime Costs for Department of Buses
 - Unfavorable results due to vacancies and poor availability.
- NYCT OT-5
 - Reduce Overtime Costs for Department of Subways
 - Unfavorable results due to vacancies and poor availability.
- NYCT CC-2
 - DoB Facility & Bus Maintenance Reduction
 - Due to All Electric Bus expenses mischarges, to be reclassify to appropriate account in July.
- NYCT CC-4
 - Reduce Bus Network Redesign Project
 - More than anticipated spending in bus network redesign project
- NYCT NP-1
 - Car Inspections for R142/R160
 - Positions have been eliminated from the budget; incumbents will be reduced via attrition.
- NYCT NP-5
 - DoB Technology System Maintenance Reduction
 - Unfavorable results due to bus antenna upgrades, violation processing fees paid to NYCDOT associated with the Automated Bus Lane Enforcement (ABLE) program, Bus time maintenance, Bus camera maintenance, and Intelligent Vehicle Network (IVN) maintenance. However, the results would be favorable if compared against the forecast budget which includes rollover funding for these technology system maintenance items.
- NYCT NP-7
 - Inspection & Testing Efficiencies
 - Higher than anticipated expense in the month of June and September.

- NYCT NP-7
 - Inspection & Testing Efficiencies
 - Higher than anticipated expense in the month of June and September.
- NYCT NP-15
 - Reduce Card Fees
 - Overrun in bank fees was due to increases in MetroCard sales while compounded by the restriction on cash sales at the station booths.
- NYCT NP-18
 - Reduce Materials & Supplies Budget – DoS
 - Due to more than anticipated level of maintenance material spending.
- NYCT NP-19
 - Reduce Miscellaneous OTPS
 - Unfavorable results mainly due to security services expenses.
- NYCT NP-21
 - Reduce Roof Repair Budget
 - Savings not achieved due to more than anticipated level of roof repairs.
- NYCT NP-22
 - Temporary Reduction of Tuition Reimbursement Program
 - Timing
- NYCT 17-05
 - Administrative & OTPS Savings
 - Savings not achieved mainly due to overruns in medical services and training expenses.

MTA New York City Transit
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Overtime Spending Reductions

ASA Description: 5 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	13.773	11	176.675	11	198.222	11	197.975	11	197.978	11

CRITICAL TASKS & MILESTONES:		Planned Date	Revised Date	Actual Date
11/1/2020	Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	14.723	14.723	14.723	14.723	14.723	14.723	14.723	14.723	14.723	14.723	14.723	14.723	176.675
Actual/Proj.	14.324	(1.323)	5.773	14.248	8.129	6.049	11.677	(2.743)	8.159	-	-	-	64.293
Month Var.	(0.399)	(16.046)	(8.950)	(0.475)	(6.594)	(8.674)	(3.045)	(17.466)	(6.564)	(14.723)	(14.723)	(14.723)	
YTD Var.	(0.399)	(16.445)	(25.395)	(25.869)	(32.464)	(41.137)	(44.183)	(61.649)	(68.213)	-	-	-	(112.382)

Frequency of Update to Actuals: Quarterly

ASA Has Been Fully Implemented: No

MTA New York City Transit
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Consulting Contract Reduction

ASA Description: 4 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	5.190	0	8.604	0	8.725	0	7.875	0	7.625	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.717	0.717	0.717	0.717	0.717	0.717	1.107	1.107	1.107	1.107	1.107	1.107	10.945
Actual/Proj.	0.438	0.694	0.673	0.570	(0.159)	0.670	1.107	1.066	1.107	-	-	-	6.167
Month Var.	(0.279)	(0.023)	(0.044)	(0.147)	(0.876)	(0.047)	-	(0.041)	-	(1.107)	(1.107)	(1.107)	
YTD Var.	(0.279)	(0.302)	(0.346)	(0.493)	(1.368)	(1.415)	(1.415)	(1.456)	(1.456)	-	-	-	(4.777)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 21 ASAs are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	107.228	35	151.826	35	93.813	35	80.733	35.000	74.837	35.000

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	8.977	8.977	8.977	8.977	8.977	8.977	8.977	8.977	8.977	8.977	8.977	53.082	151.826
Actual/Proj.	6.534	5.812	3.204	5.892	6.097	3.798	5.657	6.416	5.489	-	-	-	48.900
Month Var.	(2.442)	(3.165)	(5.773)	(3.085)	(2.880)	(5.178)	(3.320)	(2.560)	(3.488)	(8.977)	(8.977)	(53.082)	
YTD Var.	(2.442)	(5.607)	(11.380)	(14.465)	(17.344)	(22.523)	(25.842)	(28.402)	(31.890)	-	-	-	(102.926)

Frequency of Update to Actuals: Quarterly

ASA Has Been Fully Implemented: No

MTA New York City Transit
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: New BRPs that are not captured under the ASA Initiative of February 2021 or were not previously fully implemented.

ASA Description: 7 BRPs continue to be monitored in 2021

ASA Status:

ASA VALUE: (in millions)

Original Plan:	Numerous	2020		2021		2022		2023		2024	
			Pos.		Pos.		Pos.		Pos.		Pos.
		24.256	191	46.352	413	46.352	413	46.352	413	46.352	413

CRITICAL TASKS & MILESTONES:		Planned Date	Revised Date	Actual Date
11/1/2020	Identify Savings			

MONTHLY CASH SAVINGS: (in millions)

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	6.981	6.981	6.981	6.981	6.981	6.981	6.981	6.981	6.981	6.981	6.981	6.981	46.352
Actual/Proj.	6.944	6.944	6.944	6.981	6.981	6.981	6.981	6.981	6.981	-	-	-	62.721
Month Var.	(0.037)	(0.037)	(0.037)	-	-	-	-	-	-	(6.981)	(6.981)	(6.981)	
YTD Var.	(0.037)	(0.074)	(0.111)	(0.111)	(0.111)	(0.111)	(0.111)	(0.111)	(0.111)	-	-	-	16.369

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
Consulting Contract Reduction Initiatives
3rd Quarter 2021 (Actual Results through September)

Description				Positions		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total					
				Projected	Eliminated																		
NYCT	CC	1	Dependent Eligibility Verification Audit Savings	0	0	Yearly												\$	7.341				
				Monthly	\$ 0.417	\$ 0.417	\$ 0.417	\$ 0.417	\$ 0.417	\$ 0.417	\$ 0.417	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$	7.341	
				Actual	\$ 0.417	\$ 0.417	\$ 0.417	\$ 0.417	\$ 0.417	\$ 0.417	\$ 0.417	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$ 0.807	\$	4.920	
				Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$	(2.420)
				YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$	(2.420)
NYCT	CC	2	DoB Facility & Bus Maintenance Reduction	0	0	Yearly												\$	0.365				
				Monthly	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$	0.365	
				Actual	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ 0.030	\$ (0.845)	\$ (0.016)	\$ 0.030	\$ (0.010)	\$ 0.030	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$	(0.690)
				Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.876)	\$ (0.047)	\$ -	\$ (0.041)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$	(0.690)
				YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.876)	\$ (0.047)	\$ -	\$ (0.041)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$	(1.055)
NYCT	CC	4	Reduce Bus Network Redesign Project	0	0	Yearly												\$	0.379				
				Monthly	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$	0.379	
				Actual	\$ (0.248)	\$ 0.009	\$ (0.012)	\$ (0.115)	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$ 0.032	\$	(0.208)
				Month Var.	\$ (0.279)	\$ (0.023)	\$ (0.044)	\$ (0.147)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$	(0.587)
				YTD Var.	\$ (0.279)	\$ (0.302)	\$ (0.346)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$ (0.493)	\$	(0.587)
NYCT	CC	5	Reduce Miscellaneous OTPS	0	0	Yearly												\$	2.860				
				Monthly	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$	2.860	
				Actual	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$ 0.238	\$	2.145
				Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$	(0.715)
				YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$	(0.715)
			Consulting Consolidated	0	0	Yearly												\$	10.945				
				Monthly	\$ 0.717	\$ 0.717	\$ 0.717	\$ 0.717	\$ 0.717	\$ 0.717	\$ 0.717	\$ 1.107	\$ 1.107	\$ 1.107	\$ 1.107	\$ 1.107	\$ 1.107	\$ 1.107	\$ 1.107	\$	10.945		
				Actual	\$ 0.438	\$ 0.694	\$ 0.673	\$ 0.570	\$ (0.159)	\$ 0.670	\$ 1.107	\$ 1.066	\$ 1.107	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$	6.167		
				Month Var.	\$ (0.279)	\$ (0.023)	\$ (0.044)	\$ (0.147)	\$ (0.876)	\$ (0.047)	\$ -	\$ (0.041)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$	(4.777)		
				YTD Var.	\$ (0.279)	\$ (0.302)	\$ (0.346)	\$ (0.493)	\$ (1.368)	\$ (1.415)	\$ (1.415)	\$ (1.415)	\$ (1.456)	\$ (1.456)	\$ (1.456)	\$ (1.456)	\$ (1.456)	\$ (1.456)	\$ (1.456)	\$ (1.456)	\$	(4.777)	

MTA New York City Transit
Non-Personnel Spending Reduction Initiatives
3rd Quarter 2021 (Actual Results through September)

NYCT	NP	11	Procurement Savings - Life Insurance, Disability & Dental	0	0	Yearly														\$	3,907								
						Monthly	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	3,907	\$	3,907	
						Actual	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$
						Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$
						YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(3,907)	\$	(3,907)					
NYCT	NP	13	Procurement Savings - Staples	0	0	Yearly														\$	0,352								
						Monthly	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	0,352	\$	0,352	
						Actual	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$
						Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$
						YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0,352)	\$	(0,352)					
NYCT	NP	14	Reduce Bus Network Redesign Project	0	0	Yearly														\$	0,801								
						Monthly	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0,801	
						Actual	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.067	\$	0.601	
						Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0,067)	\$
						YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0,067)	\$	(0,200)					
NYCT	NP	15	Reduce Card Fees	0	0	Yearly														\$	33,720								
						Monthly	\$	2,810	\$	2,810	\$	2,810	\$	2,810	\$	2,810	\$	2,810	\$	2,810	\$	2,810	\$	2,810	\$	2,810	\$	33,720	
						Actual	\$	2,672	\$	2,602	\$	2,420	\$	2,469	\$	2,389	\$	1,827	\$	1,907	\$	2,334	\$	1,338	\$	1,472	\$	19,958	
						Month Var.	\$	(0,138)	\$	(0,208)	\$	(0,390)	\$	(0,341)	\$	(0,421)	\$	(0,983)	\$	(0,903)	\$	(0,476)	\$	(1,472)	\$	(2,810)	\$	(2,810)	
						YTD Var.	\$	(0,138)	\$	(0,346)	\$	(0,736)	\$	(1,077)	\$	(1,498)	\$	(2,481)	\$	(3,385)	\$	(3,860)	\$	(5,332)					
NYCT	NP	16	Reduce Materials & Supplies Budget - All Other	0	0	Yearly														\$	6,300								
						Monthly	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	6,300	
						Actual	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	0,525	\$	4,725	
						Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$
						YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(1,575)					
NYCT	NP	17	Reduce Materials & Supplies Budget - DoB	0	0	Yearly														\$	13,275								
						Monthly	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	13,275	
						Actual	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	1,106	\$	9,956	
						Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$
						YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(3,319)					
NYCT	NP	18	Reduce Materials & Supplies Budget - DoS	0	0	Yearly														\$	9,992								
						Monthly	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	9,992	
						Actual	\$	(0,619)	\$	0,833	\$	(2,576)	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	0,833	\$	2,634	
						Month Var.	\$	(1,451)	\$	-	\$	(3,408)	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0,833)	\$
						YTD Var.	\$	(1,451)	\$	(1,451)	\$	(4,860)	\$	(4,860)	\$	(4,860)	\$	(4,860)	\$	(4,860)	\$	(4,860)	\$	(4,860)					
NYCT	NP	19	Reduce Miscellaneous OTPS	0	0	Yearly														\$	18,309								
						Monthly	\$	1,526	\$	1,526	\$	1,526	\$	1,526	\$	1,526	\$	1,526	\$	1,526	\$	1,526	\$	1,526	\$	1,526	\$	18,309	
						Actual	\$	1,526	\$	1,526	\$	1,526	\$	0,559	\$	0,415	\$	0,219	\$	1,526	\$	0,559	\$	1,526	\$	-	\$	9,380	
						Month Var.	\$	-	\$	-	\$	-	\$	(0,967)	\$	(1,111)	\$	(1,307)	\$	-	\$	(0,967)	\$	-	\$	-	\$	(1,526)	\$
						YTD Var.	\$	-	\$	-	\$	(0,967)	\$	(2,078)	\$	(3,385)	\$	(3,385)	\$	(4,352)	\$	(4,352)							
NYCT	NP	20	Reduce Rail Grinder	0	0	Yearly														\$	3,000								
						Monthly	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	3,000	
						Actual	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	0,250	\$	2,250	
						Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$
						YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0,750)					
NYCT	NP	21	Reduce Roof Repairs Budget	0	0	Yearly														\$	1,230								
						Monthly	\$	0,103	\$	0,103	\$	0,103	\$	0,103	\$	0,103	\$	0,103	\$	0,103	\$	0,103	\$	0,103	\$	0,103	\$	1,230	
						Actual	\$	0,103	\$	(0,228)	\$	(0,658)	\$	(0,190)	\$	0,103	\$	(0,423)	\$	(0,025)	\$	0,103	\$	(0,732)	\$	-	\$	(1,950)	
						Month Var.	\$	-	\$	(0,331)	\$	(0,760)	\$	(0,293)	\$	-	\$	(0,526)	\$	(0,127)	\$	-	\$	(0,835)	\$	(0,103)	\$	(0,103)	
						YTD Var.	\$	-	\$	(0,331)	\$	(1,091)	\$	(1,384)	\$	(1,384)	\$	(1,910)	\$	(2,038)	\$	(2,038)	\$	(2,872)					
NYCT	NP	22	Temporary Reduction of Tuition Reimbursement Program	0	0	Yearly														\$	0,298								
						Monthly	\$	0,025	\$	0,025	\$	0,025	\$	0,025	\$	0,025	\$	0,025	\$	0,025	\$	0,025	\$	0,025	\$	0,025	\$	0,298	
						Actual	\$	0,025	\$	0,025	\$	0,025	\$	0,025	\$	0,025	\$	0,025	\$	(0,002)	\$	(0,004)	\$	-	\$	-	\$	0,168	
						Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0,027)	\$	(0,029)	\$	(0,025)	\$	(0,025)	
						YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	(0,027)	\$	(0,056)	\$	-	\$	-	\$						
			Non-Personnel Consolidated	35	35	Yearly														\$	151,826								
Monthly	\$	8,977				\$	8,977	\$	8,977	\$	8,977	\$	8,977	\$	8,977	\$	8,977	\$	8,977	\$	8,977	\$	53,082	\$	151,826				
Actual	\$	6,534				\$	5,812	\$	3,204	\$	5,892	\$	6,097	\$	5,798	\$	6,657	\$	6,416	\$	5,489	\$	-	\$	-	\$	48,900		
Month Var.	\$	(2,442)				\$	(3,165)	\$	(5,773)	\$	(3,085)	\$	(2,880)	\$	(5,178)	\$	(3,320)	\$	(2,560)	\$	(3,488)	\$	(8,977)	\$	(8,977)	\$	(53,082)		
						YTD Var.	\$	(2,442)	\$	(5,607)	\$	(11,380)	\$	(14,465)	\$	(17,344)	\$	(22,523)	\$	(25,842)	\$	(28,402)	\$	(31,890)					

Metropolitan Transportation Authority
2021 MTA LIRR ASA Monitoring Program
3rd Quarter 2021 results

In 2021, 16 ASA's are being monitored with a full-year savings of \$52.8 million and a reduction of 0 positions.

Results through the third quarter show that \$38.8 million or 99.1% of the planned ASA reductions of \$39.2 million was realized and 0 positions were reduced. Additionally, 73.5% of the planned full-year savings has been realized.

The following ASA did not reach the yearly targeted savings through the 3rd quarter:

- LIRR OT-4
 - Reduce Weather-Related Overtime
 - Due to multiple snowstorms in February, The LIRR did not achieve the projected 50% reduction in weather overtime in the first quarter. The LIRR also failed to meet the 50% reduction in the third quarter due to several storms (i.e. Hurricane IDA, Tropical Storm Henri and Tropical Storm Elsa). The LIRR has already exceeded its full year weather overtime budget by approximately 25%.

MTA Long Island Railroad
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Overtime Spening Reductions

ASA Description: 5 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	4.507	0	20.837	0	22.237	0	22.249	0	21.925	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	3.012	2.571	2.543	1.447	1.348	1.346	1.396	1.367	1.406	1.367	1.399	1.635	20.837
Actual/Proj.	2.628	1.845	2.159	1.804	1.814	0.962	2.218	1.330	1.330	-	-	-	16.089
Month Var.	(0.384)	(0.726)	(0.384)	0.357	0.466	(0.384)	0.822	(0.038)	(0.076)	(1.367)	(1.399)	(1.635)	
YTD Var.	(0.384)	(1.110)	(1.495)	(1.138)	(0.672)	(1.056)	(0.234)	(0.272)	(0.348)	-	-	-	(4.748)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Long Island Railroad
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Consulting Services Reductions

ASA Description: 2 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	1.004	0	3.693	0	3.724	0	3.847	0	3.960	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.197	0.197	0.197	0.197	0.197	0.197	0.197	0.197	0.197	0.197	0.197	1.521	3.693
Actual/Proj.	0.197	0.197	0.197	0.197	0.197	0.197	0.197	0.197	0.197	-	-	-	1.777
Month Var.	-	-	-	-	-	-	-	-	-	(0.197)	(0.197)	(1.521)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(1.916)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Long Island Railroad
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 9 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	0.439	0	28.270	53	27.898	53	27.989	53	28.393	53

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	2.316	2.345	2.330	2.316	2.316	2.345	2.330	2.316	2.330	2.413	2.413	2.502	28.270
Actual/Proj.	2.316	2.345	2.330	2.316	2.316	2.345	2.330	2.316	2.330	-	-	-	20.944
Month Var.	-	-	-	-	-	-	-	-	-	(2.413)	(2.413)	(2.502)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(7.327)

Frequency of Update to Actuals: Quarterly
 PEG Has Been Fully Implemented: No

MTA Long Island Railroad
Overtime Spending Reduction Initiatives
3rd Quarter 2021 (Actual Results through September)

Description			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total		
LIRR	OT	1	90 Percent Weekday Service Plan - Maintain LIRR's existing baseline weekday service plan which is approximately 90% of the pre-COVID service plan.	Yearly											\$ 10.109		
			Monthly	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 10.109
			Actual	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 0.842	\$ 7.582
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.842)	\$ (0.842)	\$ (0.842)	\$ -
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (2.527)
LIRR	OT	2	Deploy less staff on overtime as "protection" during unplanned events. - 11% reduction in the Engineering Department modifications overtime budget	Yearly											\$ 1.050		
			Monthly	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 1.050	
			Actual	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.087	\$ 0.787	
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.087)	\$ (0.087)	\$ (0.087)	\$ -
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.262)
LIRR	OT	3	Reduce Stations Department Special Event Coverage	Yearly											\$ 0.186		
			Monthly	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.186	
			Actual	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.016	\$ 0.140	
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.016)	\$ (0.016)	\$ (0.016)	\$ -
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.047)
LIRR	OT	4	Reduce Weather-Related Overtime (includes Fringe) Approaching storms will be better evaluated so weather-related overtime will only occur as necessary.	Yearly											\$ 4.881		
			Monthly	\$ 1.683	\$ 1.241	\$ 1.213	\$ 0.117	\$ 0.019	\$ 0.016	\$ 0.067	\$ 0.038	\$ 0.076	\$ 0.037	\$ 0.070	\$ 0.305	\$ 4.881	
			Actual	\$ 1.683	\$ 0.899	\$ 1.213	\$ 0.217	\$ 0.027	\$ 0.016	\$ 0.067	\$ -	\$ (0.038)	\$ (0.076)	\$ (0.037)	\$ (0.070)	\$ (0.305)	\$ 4.122
			Month Var.	\$ -	\$ (0.342)	\$ -	\$ 0.100	\$ 0.008	\$ -	\$ -	\$ -	\$ (0.038)	\$ (0.076)	\$ (0.037)	\$ (0.070)	\$ (0.305)	\$ -
			YTD Var.	\$ -	\$ (0.342)	\$ (0.342)	\$ (0.242)	\$ (0.234)	\$ (0.234)	\$ (0.234)	\$ (0.234)	\$ (0.272)	\$ (0.348)	\$ -	\$ -	\$ -	\$ (0.759)
LIRR	OT	5	Reduce the amount of vacancy/absentee coverage in the Stations and Equipment departments. - \$2M of this reduction relates to a 50% cut to Stations absentee coverage (mostly ticket agents/clerks and station appearance maintainers). \$3M of the reduction relates to a 10% reduction in vacancy/absentee coverage in the Equipment Department	Yearly											\$ 4.610		
			Monthly	\$ 0.384	\$ 0.384	\$ 0.384	\$ 0.384	\$ 0.384	\$ 0.384	\$ 0.384	\$ 0.384	\$ 0.384	\$ 0.384	\$ 0.384	\$ 0.384	\$ 4.610	
			Actual	\$ -	\$ -	\$ -	\$ 0.641	\$ 0.842	\$ -	\$ 1.206	\$ 0.384	\$ 0.384	\$ -	\$ -	\$ -	\$ -	\$ 3.458
			Month Var.	\$ (0.384)	\$ (0.384)	\$ (0.384)	\$ 0.257	\$ 0.458	\$ (0.384)	\$ 0.822	\$ -	\$ -	\$ -	\$ (0.384)	\$ (0.384)	\$ (0.384)	\$ -
			YTD Var.	\$ (0.384)	\$ (0.768)	\$ (1.153)	\$ (0.896)	\$ (0.438)	\$ (0.822)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1.153)
Overtime Consolidated			Yearly												\$ 20.837		
			Monthly	\$ 3.012	\$ 2.571	\$ 2.543	\$ 1.447	\$ 1.348	\$ 1.346	\$ 1.396	\$ 1.367	\$ 1.406	\$ 1.367	\$ 1.399	\$ 1.635	\$ 20.837	
			Actual	\$ 2.628	\$ 1.845	\$ 2.159	\$ 1.804	\$ 1.814	\$ 0.962	\$ 2.218	\$ 1.330	\$ 1.330	\$ -	\$ -	\$ -	\$ -	\$ 16.089
			Month Var.	\$ (0.384)	\$ (0.726)	\$ (0.384)	\$ 0.357	\$ 0.466	\$ (0.384)	\$ 0.822	\$ (0.038)	\$ (0.076)	\$ (1.367)	\$ (1.399)	\$ (1.635)	\$ -	\$ -
			YTD Var.	\$ (0.384)	\$ (1.110)	\$ (1.495)	\$ (1.138)	\$ (0.672)	\$ (1.056)	\$ (0.234)	\$ (0.272)	\$ (0.348)	\$ -	\$ -	\$ -	\$ -	\$ (4.748)

**MTA Long Island Railroad
Consulting Services Reduction Initiatives
3rd Quarter 2021 (Actual Results through September)**

Description			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total			
LIRR	CC	1	BRP - Tighter Control and Prioritization of Non Payroll Funds to Core												\$	2,369		
			Mission Initiatives - Includes 3rd Party employee training, homeless												\$	2,369		
			services, records retention, holiday decorations, new hire background	Actual	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 1,777
			checks, graphic design and customer satisfaction study, printing services,	Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
			vehicle maintenance, third party snow removal and vehicle maintenance,	YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.197)	\$ (0.197)	\$ (0.197)	\$ (0.592)
LIRR	CC	2	Procurement Savings - Life Insurance and Disability Costs and HQ Dental												\$	1,324		
			Benefits Cost Reduction												\$	1,324		
				Actual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,324	\$ -
				Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,324)	\$ -
				YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,324)	\$ (1,324)
Consulting Consolidated			Yearly												\$	3,693		
			Monthly	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 1,521	\$ 3,693	
			Actual	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ 0.197	\$ -	\$ -	\$ -	\$ 1,777	
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.197)	\$ (0.197)	\$ (1,521)	\$ -	
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,916)	\$ (1,916)

**Metropolitan Transportation Authority
2021 MTA MNR ASA Monitoring Program
3rd Quarter 2021 results**

In 2021, 13 ASA's are being monitored with a full-year savings of \$44.7 million and a reduction of 0 positions.

Results through the third quarter show that \$27.3 million or 89.9% of the planned ASA reductions of \$30.4 million was realized and 0 positions were reduced. Additionally, 44.9% of the planned full-year savings has been realized.

All ASA's met the targeted savings for the quarter, except:

- MNR OT-1
 - Maintain the June 2020 East of Hudson Reduced Service Schedule
 - In June 2021, Metro-North enhanced its peak service, adding 24 trains during the peak periods on the East of Hudson lines. In August 2021, MNR increased full weekday service to 82% of the pre-pandemic schedule and weekend service to full pre-pandemic levels.

MTA Metro-North Railroad
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Overtime Spening Reductions

ASA Description: 4 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	4.935	0	11.683	0	12.030	0	12.292	0	12.559	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	1.256	1.332	1.303	0.751	0.704	0.702	0.837	0.788	0.720	0.801	0.796	1.694	11.683
Actual/Proj.	1.256	0.699	1.303	0.751	0.704	0.702	0.642	0.609	0.543	-	-	-	7.208
Month Var.	-	(0.633)	-	-	-	-	(0.195)	(0.179)	(0.176)	(0.801)	(0.796)	(1.694)	
YTD Var.	-	(0.633)	(0.633)	(0.633)	(0.633)	(0.633)	(0.829)	(1.007)	(1.184)	-	-	-	(4.475)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Metro-North Railroad
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Consulting Services Reductions

ASA Description: 3 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	1.242	0	2.487	0	2.524	0	2.563	0	2.604	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	2.487
Actual/Proj.	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	-	-	-	1.865
Month Var.	-	-	-	-	-	-	-	-	-	(0.207)	(0.207)	(0.207)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(0.622)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Metro-North Railroad
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 6 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	21.141	0	30.553	0	29.686	0	29.563	0.000	29.533	0.000

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	2.235	2.235	2.235	2.235	2.235	2.235	2.235	2.235	2.235	2.235	2.235	4.322	28.903
Actual/Proj.	2.235	2.235	2.235	2.235	2.235	2.235	1.820	1.676	1.336	-	-	-	18.241
Month Var.	-	-	-	-	-	-	(0.415)	(0.558)	(0.899)	(2.235)	(2.235)	(4.322)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(10.663)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

**MTA Metro-North Railroad
Overtime Spending Reduction Initiatives
3rd Quarter 2021 (Actual Results through September)**

Description			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total			
MNR	OT	1	Maintain the June 2020 East of Hudson Reduced Service Schedule	Yearly											\$	7.619		
			Monthly	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$	7.619
			Actual	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.440	\$ 0.456	\$ 0.459				\$	5.164
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.195)	\$ (0.179)	\$ (0.176)	\$ (0.635)	\$ (0.635)	\$ (0.635)		
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.195)	\$ (0.374)	\$ (0.551)					
MNR	OT	2	Overtime Reductions for Vacancy/Absentee Coverage	Yearly											\$	0.352		
			Monthly	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$	0.352
			Actual	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029				\$	0.264
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.029)	\$ (0.029)	\$ (0.029)		
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -					
MNR	OT	3	Reduction in Overtime Assigned to Prepare/Respond to Weather Events	Yearly											\$	3.296		
			Monthly	\$ 0.557	\$ 0.633	\$ 0.604	\$ 0.052	\$ 0.005	\$ 0.003	\$ 0.138	\$ 0.089	\$ 0.021	\$ 0.102	\$ 0.097	\$ 0.995	\$	3.296	
			Actual	\$ 0.557	\$ -	\$ 0.604	\$ 0.052	\$ 0.005	\$ 0.003	\$ 0.138	\$ 0.089	\$ 0.021					\$	1.468
			Month Var.	\$ -	\$ (0.633)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.102)	\$ (0.097)	\$ (0.995)		
			YTD Var.	\$ -	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)					
MNR	OT	4	Tighter Control and Prioritization of Expenses	Yearly											\$	0.417		
			Monthly	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$	0.417	
			Actual	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035			\$	0.313	
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.035)	\$ (0.035)	\$ (0.035)		
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -					
Overtime Consolidated			Yearly												\$	11.683		
			Monthly	\$ 1.256	\$ 1.332	\$ 1.303	\$ 0.751	\$ 0.704	\$ 0.702	\$ 0.837	\$ 0.788	\$ 0.720	\$ 0.801	\$ 0.796	\$ 1.694	\$	11.683	
			Actual	\$ 1.256	\$ 0.699	\$ 1.303	\$ 0.751	\$ 0.704	\$ 0.702	\$ 0.642	\$ 0.609	\$ 0.543	\$ -	\$ -	\$ -	\$	7.208	
			Month Var.	\$ -	\$ (0.633)	\$ -	\$ -	\$ -	\$ -	\$ (0.195)	\$ (0.179)	\$ (0.176)	\$ (0.801)	\$ (0.796)	\$ (1.694)			
			YTD Var.	\$ -	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.829)	\$ (1.007)	\$ (1.184)						

**MTA Metro-North Railroad
Consulting Services Reduction Initiatives
3rd Quarter 2021 (Actual Results through September)**

Description			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total				
MNR	CC	1	Outside Training Reduction												\$	0.468			
			Yearly												\$	0.468			
			Monthly	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$	0.468
			Actual	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$ 0.039	\$	0.351
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.039)	\$ (0.039)	\$ (0.039)		
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.039)	\$ (0.039)	\$ (0.039)				
MNR	CC	2	Rightsizing of Ad-Hoc Engineering Services Budget												\$	0.639			
			Yearly												\$	0.639			
			Monthly	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$	0.639
			Actual	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$ 0.053	\$	0.479
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.053)	\$ (0.053)	\$ (0.053)		
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.053)	\$ (0.053)	\$ (0.053)				
MNR	CC	3	Tighter Control and Prioritization of Expenses												\$	1.379			
			Yearly												\$	1.379			
			Monthly	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$	1.379
			Actual	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$ 0.115	\$	1.035
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.115)	\$ (0.115)	\$ (0.115)		
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.115)	\$ (0.115)	\$ (0.115)				
Consulting Consolidated			Yearly												\$	2.487			
			Monthly	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$	2.487
			Actual	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ 0.207	\$ -	\$ -	\$ -	\$	1.865
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.207)	\$ (0.207)	\$ (0.207)		
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.207)	\$ (0.207)	\$ (0.207)		

Metropolitan Transportation Authority
2021 MTA B&T ASA Monitoring Program
3rd Quarter 2021 results

In 2021, 32 ASA's are being monitored with a full-year savings of \$29.1 million and a reduction of 0 positions.

Results through the third quarter show that \$20.2 million or 100% of the planned ASA reductions of \$20.2 million was realized and 0 positions were reduced. Additionally, 69.3% of the planned full-year savings has been realized.

All ASA's met the targeted savings for the quarter.

MTA Bridges & Tunnels
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Overtime Spening Reductions

ASA Description: 1 ASA is being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	5.322	0	4.677	0	4.766	0	4.823	0	4.911	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	4.677
Actual/Proj.	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	-	-	-	3.508
Month Var.	-	-	-	-	-	-	-	-	-	(0.390)	(0.390)	(0.390)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(1.169)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Bridges & Tunnels
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Consulting Services Reductions

ASA Description: 13 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	4.034	0	8.388	0	6.380	0	3.864	0	3.806	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.548	0.548	0.548	0.667	0.667	0.667	0.667	0.667	0.667	0.785	0.785	1.176	8.388
Actual/Proj.	0.548	0.548	0.548	0.667	0.667	0.667	0.667	0.667	0.667	-	-	-	5.642
Month Var.	-	-	-	-	-	-	-	-	-	(0.785)	(0.785)	(1.176)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(2.747)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Bridges & Tunnels
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 18 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	9.882	0	16.064	0	12.810	0	11.641	0.000	11.502	0.000

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	1.227	1.227	1.227	1.227	1.227	1.227	1.227	1.227	1.227	1.227	1.227	2.568	16.064
Actual/Proj.	1.227	1.227	1.227	1.227	1.227	1.227	1.227	1.227	1.227	-	-	-	11.042
Month Var.	-	-	-	-	-	-	-	-	-	(1.227)	(1.227)	(2.568)	
YTD Var.	-	-	-	-	-	-	-	-	-	(1.227)	(2.454)	(5.022)	(5.022)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Bridges & Tunnels
Overtime Spending Reduction Initiatives
3rd Quarter 2021 (Actual Results through September)

Description			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total			
B&T	OT	1	Controllable Overtime Time Reduction															
			Yearly														\$ 4,677	
			Monthly	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 4,677	
			Actual	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ -	\$ -	\$ -	\$ 3,508	
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.390)	\$ (0.390)	\$ (0.390)	\$ -	
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.390)	\$ (0.390)	\$ (0.390)	\$ (1,169)	
			Overtime Consolidated															
			Yearly															\$ 4,677
			Monthly	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 4,677
			Actual	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ 0.390	\$ -	\$ -	\$ -	\$ 3,508	
Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.390)	\$ (0.390)	\$ (0.390)	\$ -				
YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.390)	\$ (0.390)	\$ (0.390)	\$ (1,169)				

MTA Bridges & Tunnels
Consulting Services Reduction Initiatives
3rd Quarter 2021 (Actual Results through September)

Description				Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total			
B&T	CC	14	Reduce use of Contractor for components of tunnel inspection and smaller structures at the bridges	Yearly												\$ 0.500			
			Monthly	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.500	
			Actual	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ 0.042	\$ -	\$ -	\$ -	\$ 0.375	
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ -	
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.042)	\$ (0.042)	\$ (0.042)	\$ (0.125)	
B&T	CC	15	Reduction in Multiple Lines Related to Facility Expenses and Maintenance Services	Yearly												\$ 0.282			
			Monthly	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.282		
			Actual	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ 0.024	\$ -	\$ -	\$ -	\$ 0.212	
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.024)	\$ (0.024)	\$ (0.024)	\$ -	
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.024)	\$ (0.024)	\$ (0.024)	\$ (0.071)	
B&T	CC	17	Remove Supervisor from Security Guard Contract	Yearly												\$ 0.102			
			Monthly	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.102		
			Actual	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ 0.009	\$ -	\$ -	\$ -	\$ 0.077	
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.009)	\$ (0.009)	\$ (0.009)	\$ -	
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.009)	\$ (0.009)	\$ (0.009)	\$ (0.026)	
Consulting Consolidated				Yearly												\$ 8.388			
				Monthly	\$ 0.548	\$ 0.548	\$ 0.548	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.785	\$ 0.785	\$ 1.176	\$ 8.388
				Actual	\$ 0.548	\$ 0.548	\$ 0.548	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.667	\$ 0.667	\$ -	\$ -	\$ -	\$ 5.642
				Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.785)	\$ (0.785)	\$ (1.176)	\$ -
				YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.785)	\$ (0.785)	\$ (1.176)	\$ (2.747)

**Metropolitan Transportation Authority
2021 MTA HQ ASA Monitoring Program
3rd Quarter 2021 results**

In 2021, 27 ASA's are being monitored with a full-year savings of \$103.1 million and a reduction of 0 positions.

Results through the third quarter show that \$76.7 million or 100% of the planned ASA reductions of \$76.7 million was realized and 0 positions were reduced. Additionally, 74.4% of the planned full-year savings has been realized.

All ASA's met the targeted savings for the quarter.

MTA Headquarters
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Overtime Spening Reductions

ASA Description: 1 ASA is being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	0.283	0	0.449	0	0.466	0	0.483	0	0.483	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.449
Actual/Proj.	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	-	-	-	0.337
Month Var.	-	-	-	-	-	-	-	-	-	(0.037)	(0.037)	(0.037)	-
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(0.112)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Headquarters
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Consulting Services Reductions

ASA Description: 6 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	46.697	0	83.047	0	30.170	0	18.630	0	30.260	0

CRITICAL TASKS & MILESTONES:			Planned Date	Revised Date	Actual Date
11/1/2020	Identify Savings				

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	83.047
Actual/Proj.	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	-	-	-	62.285
Month Var.	-	-	-	-	-	-	-	-	-	(6.921)	(6.921)	(6.921)	-
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(20.762)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Headquarters
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 18 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	16.682	0	19.618	0	16.539	0	14.107	0.000	14.181	0.000

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	1.569	1.569	1.569	1.569	1.569	1.569	1.569	1.569	1.569	1.569	1.569	2.361	19.618
Actual/Proj.	1.569	1.569	1.569	1.569	1.569	1.569	1.569	1.569	1.569	-	-	-	14.120
Month Var.	-	-	-	-	-	-	-	-	-	(1.569)	(1.569)	(2.361)	-
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(5.499)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Headquarters
2021 ASA Monitoring Milestone Report
3rd Quarter 2021 (Actual Results through September)

ASA Name: BRPs that are not captured under the ASA Initiative or were not fully implemented

ASA Description: 2 BRP's are being monitored in 2021

ASA Status:

ASA VALUE: (in millions)

Original Plan:	Jul-21	2020		2021		2022		2023		2024	
			Pos.		Pos.		Pos.		Pos.		Pos.
		0.000	0	1.690	0	1.646	0	1.704	0	1.654	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.130	0.130	0.130	0.130	0.130	0.130	0.151	0.151	0.151	0.151	0.151	0.151	1.690
Actual/Proj.	0.130	0.130	0.130	0.130	0.130	0.130	0.151	0.151	0.151	-	-	-	1.236
Month Var.	-	-	-	-	-	-	-	-	-	(0.151)	(0.151)	(0.151)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(0.454)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Headquarters
Non-Personnel Expense Reduction Initiatives
3rd Quarter 2021 (Actual Results through September)

HQ	NP	12	Outside and Government Lobbying costs	Yearly																\$	0.400							
				Monthly	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.400		
				Actual	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.033	\$	0.300		
				Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.033)	\$	(0.033)	\$	(0.033)
				YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.033)	\$	(0.033)	\$	(0.100)
HQ	NP	13	Reduction in IT Data services	Yearly																	\$	1.676						
				Monthly	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	1.676		
				Actual	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	0.140	\$	1.257		
				Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.140)	\$	(0.140)	\$	(0.140)
				YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.140)	\$	(0.140)	\$	(0.419)
HQ	NP	14	Reduction of Corporate Miscellaneous Business Expenses	Yearly																	\$	0.462						
				Monthly	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.462		
				Actual	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.039	\$	0.347		
				Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.039)	\$	(0.039)	\$	(0.039)
				YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.039)	\$	(0.039)	\$	(0.116)
HQ	NP	15	Reduction to cellular/data costs and reductions in laptop/desktop purchases	Yearly																	\$	0.048						
				Monthly	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.048		
				Actual	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.004	\$	0.036		
				Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.004)	\$	(0.004)	\$	(0.004)
				YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.004)	\$	(0.004)	\$	(0.012)
HQ	NP	16	Reduction to Market Research costs	Yearly																	\$	0.018						
				Monthly	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.018		
				Actual	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.002	\$	0.014		
				Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.002)	\$	(0.002)	\$	(0.002)
				YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.002)	\$	(0.002)	\$	(0.005)
HQ	NP	17	Reductions in advertising/marketing/promo expenses	Yearly																	\$	0.171						
				Monthly	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.171		
				Actual	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.014	\$	0.128		
				Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.014)	\$	(0.014)	\$	(0.014)
				YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.014)	\$	(0.014)	\$	(0.043)
HQ	NP	19	Reductions in office furniture purchases	Yearly																	\$	0.291						
				Monthly	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.291		
				Actual	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.024	\$	0.218		
				Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.024)	\$	(0.024)	\$	(0.024)
				YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.024)	\$	(0.024)	\$	(0.073)
HQ	NP	20	Transit Museum	Yearly																	\$	0.016						
				Monthly	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.016		
				Actual	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.001	\$	0.012		
				Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.001)	\$	(0.001)	\$	(0.001)
				YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.001)	\$	(0.001)	\$	(0.004)
HQ	NP	21	Travel Reduction: Reduce Travel by 50%	Yearly																	\$	3.550						
				Monthly	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	3.550		
				Actual	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	0.296	\$	2.663		
				Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.296)	\$	(0.296)	\$	(0.296)
				YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.296)	\$	(0.296)	\$	(0.888)
Non-Personnel Consolidated				Yearly																	\$	19.618						
				Monthly	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	2.361	\$	19.618
				Actual	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	1.569	\$	14.120
				Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(1.569)	\$	(1.569)	\$	(2.361)
				YTD Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(1.569)	\$	(1.569)	\$	(2.361)

