

Memorandum



Metropolitan Transportation Authority

State of New York

February 16, 2023

Mr. Rahul Jain
Office of the State Comptroller
59 Maiden Lane, 29th Floor
New York, New York 10038

Dear Mr. Jain,

This represents the MTA's Third Quarter, 2022, report concerning the status of its gap closing initiatives (ASA/BRP/PEG) as required by the NY State Comptroller's Regulation 4, Section 202.5 (c). According to the Regulation, the MTA must report "each quarter, until implemented or rescinded, the status of each gap-closing initiative with a projected value equal to or greater than \$1 million in any given fiscal year, including milestones, impact on staffing, current implementation status, actual savings or revenues to date and projected annual savings or revenues in comparison to Budget and Plan projections."

Attached is a summary of the results of the 3rd Quarter Monitoring Program for 2022, as well as copies of the Monitored Milestone Reports. The summary shows that the MTA will track gap closing initiatives with an annual value of \$287.2 million with a reduction of 26 positions. Actual savings through the 3rd Quarter of \$131.1 million represents 60.9% of the third quarter goal and 45.6% of the planned annual savings, with a reduction of 26 positions.

If you have any questions, please do not hesitate to call.

Sincerely,

A handwritten signature in black ink, appearing to read 'DKeller'.

David Keller
Director, Consolidated Analysis, Office of Management & Budget

cc: Kevin Willens
Janno Lieber
Jai Patel
M. Young
K. DeDonno

F. Chou
K. Leopold
L. Liberto (OSDC)

Metropolitan Transportation Authority
3rd Quarter 2022
ASA Monitoring Summary
Combined 2022 (results through September)
(\$ in millions)

MTA Agencies	2022 ASA's Monitored * (Full Year)		3rd Quarter Results (ytd)				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2022 Implemented at end of Quarter (%)
New York City Transit **	26	249.153	186.865	105.620	(81.245)	56.5%	42.4%
Long Island Rail Road	0	22.051	16.538	15.234	(1.305)	92.1%	69.1%
Metro-North Railroad	0	11.252	8.439	6.673	(1.766)	79.1%	59.3%
MTA Bridges & Tunnels	0	4.766	3.575	3.575	0.000	100.0%	75.0%
MTA Headquarters	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA CONSOLIDATED	26	287.222	215.417	131.101	(84.315)	60.9%	45.6%

* The value of the monitored PEGs reflects re-estimates captured through the July 2022 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
3rd Quarter 2022
ASA Monitoring Summary
2022 Overtime Spending Reduction (results through September)
(\$ in millions)

MTA Agencies	2022 ASA's Monitored * (Full Year)		"Planned" Savings				
			"Planned" Savings	"Realized" Savings	Variance Fav/(Unfav)	"Realized" Savings as % of "Planned" Savings	% of 2022 Implemented at end of Quarter
	Pos	(\$)	(\$)	(\$)	(\$)	(%)	(%)
New York City Transit **	11	198.222	148.667	46.596	(102.070)	31.3%	23.5%
Long Island Rail Road	0	22.051	16.538	15.234	(1.305)	92.1%	69.1%
Metro-North Railroad	0	11.252	8.439	6.673	(1.766)	79.1%	59.3%
MTA Bridges & Tunnels	0	4.766	3.575	3.575	0.000	100.0%	75.0%
MTA Headquarters	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA CONSOLIDATED	11	236.291	177.218	72.077	(105.141)	40.7%	30.5%

* The value of the monitored PEGs reflects re-estimates captured through the July 2022 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
3rd Quarter 2022
ASA Monitoring Summary
2022 Consulting Contract Reduction ASA's (results through September)
(\$ in millions)

MTA Agencies	2022 ASA's Monitored * (Full Year)		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2022 Implemented at end of Quarter (%)
New York City Transit **	0	0.865	0.649	3.847	3.199	>100.0%	>100.0%
Long Island Rail Road	0	0.000	0.000	0.000	0.000	N/A	N/A
Metro-North Railroad	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA Bridges & Tunnels	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA Headquarters	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA CONSOLIDATED	0	0.865	0.649	3.847	3.199	>100.0%	>100.0%

* The value of the monitored PEGs reflects re-estimates captured through the July 2022 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
3rd Quarter 2022
ASA Monitoring Summary
2022 Non-Personnel Expense Reduction ASA's (results through September)
(\$ in millions)

MTA Agencies	2022 ASA's Monitored *		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2022 Implemented at end of Quarter (%)
New York City Transit **	14	47.917	35.938	53.154	17.216	>100.0%	>100.0%
Long Island Rail Road	0	0.000	0.000	0.000	0.000	N/A	N/A
Metro-North Railroad	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA Bridges & Tunnels	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA Headquarters	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA CONSOLIDATED	14	47.917	35.938	53.154	17.216	>100.0%	>100.0%

* The value of the monitored PEGs reflects re-estimates captured through the July 2022 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
3rd Quarter 2022
ASA Monitoring Summary
2022 Additional BRP's (results through September)
(\$ in millions)

MTA Agencies	2022 ASA's Monitored *		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2022 Implemented at end of Quarter (%)
New York City Transit **	1	2.149	1.612	2.023	0.411	>100.0%	94.1%
Long Island Rail Road	0	0.000	0.000	0.000	0.000	N/A	N/A
Metro-North Railroad	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA Bridges & Tunnels	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA Headquarters	0	0.000	0.000	0.000	0.000	N/A	N/A
MTA CONSOLIDATED	1	2.149	1.612	2.023	0.411	>100.0%	94.1%

* The value of the monitored PEGs reflects re-estimates captured through the July 2022 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
2022 MTA NYCT ASA Monitoring Program
3rd Quarter 2022 results

In 2022, 15 ASA's are being monitored with a full-year savings of \$249.2 million and a reduction of 26 positions.

Results through the third quarter show that \$105.6 million or 56.5% of the planned ASA reductions of \$186.9 million was realized and 26 positions were reduced. Additionally, 42.4% of the planned full-year savings has been realized.

The following ASA's did not reach the targeted savings for the quarter:

- NYCT OT-2
 - Reduce Weather-Related Overtime
 - Did not achieve due to weather related overtime during the first 2 quarters of 2022.
- NYCT OT-3
 - Reduce Overtime Costs for All Other Departments
 - Unfavorable results due to vacancies and poor availability in Department of Security and Supply Logistics.
- NYCT OT-4
 - Reduce Overtime Costs for Department of Buses
 - Unfavorable results due to vacancies and poor availability.
- NYCT OT-5
 - Reduce Overtime Costs for Department of Subways
 - Unfavorable results due to vacancies and poor availability.
- NYCT NP-15
 - Reduce Card Fees
 - Overrun in bank fees was due to continue suspension of cash transactions of MetroCard sales at station booths.

MTA New York City Transit
2022 ASA Monitoring Milestone Report
3rd Quarter 2022 (Actual Results through September)

ASA Name: Overtime Spending Reductions

ASA Description: 5 ASA's are being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20					198.222	11	197.975	11	197.978	11

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	16.519	16.519	16.519	16.519	16.519	16.519	16.519	16.519	16.519	16.519	16.519	16.519	198.222
Actual/Proj.	13.961	2.504	6.397	2.029	12.121	1.519	2.597	(1.519)	6.989	-	-	-	46.596
Month Var.	(2.558)	(14.015)	(10.122)	(14.489)	(4.397)	(15.000)	(13.922)	(18.038)	(9.530)	(16.519)	(16.519)	(16.519)	
YTD Var.	(2.558)	(16.572)	(26.694)	(41.184)	(45.581)	(60.581)	(74.503)	(92.540)	(102.070)	-	-	-	(151.626)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
2022 ASA Monitoring Milestone Report
3rd Quarter 2022 (Actual Results through September)

ASA Name: Consulting Contract Reduction

ASA Description: 2 ASA's are being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20					0.865	0	0.615	0	0.365	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.072	0.865
Actual/Proj.	0.552	0.443	0.400	0.478	0.457	0.380	0.477	0.517	0.143	-	-	-	3.847
Month Var.	0.480	0.370	0.328	0.406	0.385	0.308	0.405	0.445	0.071	(0.072)	(0.072)	(0.072)	
YTD Var.	0.480	0.850	1.178	1.584	1.969	2.278	2.683	3.128	3.199	-	-	-	2.982

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
2022 ASA Monitoring Milestone Report
3rd Quarter 2022 (Actual Results through September)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 7 ASAs are being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20					47.917	14	33.691	14	33.541	14

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	3.993	3.993	3.993	3.993	3.993	3.993	3.993	3.993	3.993	-	-	-	47.917
Actual/Proj.	20.474	6.316	2.960	5.035	2.969	2.700	4.296	5.186	3.218	-	-	-	53.154
Month Var.	16.481	2.323	(1.034)	1.042	(1.024)	(1.293)	0.303	1.192	(0.775)	-	-	-	
YTD Var.	16.481	18.804	17.771	18.813	17.789	16.495	16.799	17.991	17.216	-	-	-	17.216

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
2022 ASA Monitoring Milestone Report
3rd Quarter 2022 (Actual Results through September)

ASA Name: BRPs that are not captured under the ASA Initiative or were not fully implemented

ASA Description: 1 BRP is to be monitored in 2022

ASA Status:

ASA VALUE: (in millions)

	2020		2021		2022		2023		2024	
		Pos.		Pos.		Pos.		Pos.		Pos.
Original Plan: Numerous					2.149	1	2.149	1	2.149	1

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.179	0.179	0.179	0.179	0.179	0.179	0.179	0.179	0.179	0.179	0.179	0.179	2.149
Actual/Proj.	0.179	0.179	0.179	0.316	0.316	0.316	0.179	0.179	0.179	-	-	-	2.023
Month Var.	-	-	-	0.137	0.274	0.411	0.411	0.411	0.411	-	-	-	
YTD Var.	-	-	-	0.137	0.411	0.822	1.233	1.644	2.055	2.055	2.055	2.055	(0.126)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
Non-Personnel Spending Reduction Initiatives
3rd Quarter 2022 (Actual Results through September)

Description			Positions		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total					
			Projected	Eliminated																		
NYCT	NP	1	Car Inspections for R142/R160	14	14	Yearly											\$ 1,700					
						Monthly	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 0.142	\$ 1,700	
						Actual	\$ 0.982	\$ 1.031	\$ 1.071	\$ 1.165	\$ 0.910	\$ 0.826	\$ 0.823	\$ 0.918	\$ 0.947							\$ 8,673
						Month Var.	\$ 0.840	\$ 0.889	\$ 0.930	\$ 1.023	\$ 0.768	\$ 0.684	\$ 0.682	\$ 0.776	\$ 0.806	\$ (0.142)	\$ (0.142)	\$ (0.142)				\$ 6,973
			YTD Var.	\$ 0.840	\$ 1.729	\$ 2.659	\$ 3.682	\$ 4.450	\$ 5.134	\$ 5.816	\$ 6.592	\$ 7.398					\$ 6,973					
NYCT	NP	4	DoB Facility & Bus Maintenance Reduction	0	0	Yearly											\$ 7,990					
						Monthly	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 0.666	\$ 7,990	
						Actual	\$ 8.815	\$ 1.518	\$ (1.614)	\$ (0.520)	\$ 1.066	\$ (2.143)	\$ 0.417	\$ 0.388	\$ (2.326)							\$ 5,602
						Month Var.	\$ 8.149	\$ 0.852	\$ (2.280)	\$ (1.186)	\$ 0.400	\$ (2.809)	\$ (0.248)	\$ (0.278)	\$ (2.991)	\$ (0.666)	\$ (0.666)	\$ (0.666)				\$ (2,388)
			YTD Var.	\$ 8.149	\$ 9.001	\$ 6.721	\$ 5.536	\$ 5.936	\$ 3.127	\$ 2.879	\$ 2.601	\$ (0.390)					\$ (2,388)					
NYCT	NP	5	DoB Technology System Maintenance Reduction	0	0	Yearly											\$ 3,698					
						Monthly	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 0.308	\$ 3,698	
						Actual	\$ 2.844	\$ 0.213	\$ (1.021)	\$ 0.121	\$ 0.634	\$ 0.372	\$ (0.720)	\$ 0.317	\$ 0.593							\$ 3,353
						Month Var.	\$ 2.536	\$ (0.095)	\$ (1.330)	\$ (0.187)	\$ 0.326	\$ 0.064	\$ (1.028)	\$ 0.009	\$ 0.284	\$ (0.308)	\$ (0.308)	\$ (0.308)				\$ (0,345)
			YTD Var.	\$ 2.536	\$ 2.441	\$ 1.111	\$ 0.924	\$ 1.250	\$ 1.314	\$ 0.286	\$ 0.295	\$ 0.580					\$ (0,345)					
NYCT	NP	7	Inspection & Testing Efficiencies	0	0	Yearly											\$ 2,000					
						Monthly	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 0.167	\$ 2,000	
						Actual	\$ 2.046	\$ 0.150	\$ 0.561	\$ 0.569	\$ 0.134	\$ 0.482	\$ 0.535	\$ (0.663)	\$ 0.178							\$ 3,992
						Month Var.	\$ 1.879	\$ (0.016)	\$ 0.394	\$ 0.403	\$ (0.032)	\$ 0.315	\$ 0.368	\$ (0.830)	\$ 0.011	\$ (0.167)	\$ (0.167)	\$ (0.167)				\$ 1,992
			YTD Var.	\$ 1.879	\$ 1.863	\$ 2.257	\$ 2.660	\$ 2.627	\$ 2.943	\$ 3.311	\$ 2.481	\$ 2.492					\$ 1,992					
NYCT	NP	15	Reduce Card Fees	0	0	Yearly											\$ 12,590					
						Monthly	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 1.049	\$ 12,590	
						Actual	\$ 0.933	\$ 0.967	\$ 0.576	\$ 0.992	\$ 0.799	\$ 0.723	\$ 1.156	\$ 1.019	\$ 0.246							\$ 7,411
						Month Var.	\$ (0.116)	\$ (0.082)	\$ (0.473)	\$ (0.058)	\$ (0.250)	\$ (0.326)	\$ 0.107	\$ (0.030)	\$ (0.803)	\$ (1.049)	\$ (1.049)	\$ (1.049)				\$ (5,179)
			YTD Var.	\$ (0.116)	\$ (0.198)	\$ (0.671)	\$ (0.729)	\$ (0.979)	\$ (1.305)	\$ (1.198)	\$ (1.228)	\$ (2.032)					\$ (5,179)					
NYCT	NP	19	Reduce Miscellaneous OTPS	0	0	Yearly											\$ 18,709					
						Monthly	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 1.559	\$ 18,709	
						Actual	\$ 4.376	\$ 2.344	\$ 3.247	\$ 2.898	\$ (0.005)	\$ 2.936	\$ 2.227	\$ 3.133	\$ 3.393							\$ 24,547
						Month Var.	\$ 2.817	\$ 0.785	\$ 1.688	\$ 1.339	\$ (1.564)	\$ 1.376	\$ 0.668	\$ 1.574	\$ 1.834	\$ (1.559)	\$ (1.559)	\$ (1.559)				\$ 5,838
			YTD Var.	\$ 2.817	\$ 3.602	\$ 5.290	\$ 6.628	\$ 5.064	\$ 6.440	\$ 7.108	\$ 8.682	\$ 10.516					\$ 5,838					
NYCT	NP	21	Reduce Roof Repairs Budget	0	0	Yearly											\$ 1,230					
						Monthly	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 0.103	\$ 1,230	
						Actual	\$ 0.479	\$ 0.093	\$ 0.140	\$ (0.190)	\$ (0.569)	\$ (0.495)	\$ (0.142)	\$ 0.074	\$ 0.187							\$ (0,425)
						Month Var.	\$ 0.376	\$ (0.010)	\$ 0.037	\$ (0.292)	\$ (0.671)	\$ (0.598)	\$ (0.245)	\$ (0.029)	\$ 0.084	\$ 0.103	\$ (0.103)	\$ (0.103)				\$ (1,450)
			YTD Var.	\$ 0.376	\$ 0.367	\$ 0.404	\$ 0.112	\$ (0.560)	\$ (1.158)	\$ (1.402)	\$ (1.431)	\$ (1.347)						\$ (1,450)				
Non-Personnel Consolidated				14	14	Yearly											\$ 47,917					
						Monthly	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 3.993	\$ 47,917	
						Actual	\$ 20.474	\$ 6.316	\$ 2.960	\$ 5.035	\$ 2.969	\$ 2.700	\$ 4.296	\$ 5.186	\$ 3.218	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 53,154
						Month Var.	\$ 16.481	\$ 2.323	\$ (1.034)	\$ 1.042	\$ (1.024)	\$ (1.293)	\$ 0.303	\$ 1.192	\$ (0.775)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
			YTD Var.	\$ 16.481	\$ 18.804	\$ 17.771	\$ 18.813	\$ 17.789	\$ 16.495	\$ 16.799	\$ 17.991	\$ 17.216						\$ 17,216				

Metropolitan Transportation Authority
2022 MTA LIRR ASA Monitoring Program
3rd Quarter 2022 results

In 2022, 4 ASA's are being monitored with a full-year savings of \$22.1 million and a reduction of 0 positions.

Results through the third quarter show that \$15.2 million or 92.1% of the planned ASA reductions of \$16.5 million was realized and 0 positions were reduced. Additionally, 69.1% of the planned full-year savings has been realized.

The following ASA's did not reach the yearly targeted savings through the 3rd quarter:

- LIRR OT-4
 - Reduce Weather-Related Overtime
 - The LIRR failed to meet the 50% reduction in weather overtime due to several weather events in the first quarter. The most notable storm was Winter Storm Kenan on January 30, 2022. Unfavorable in July due to a severe lightning event along with high heat mitigation.

MTA Long Island Railroad
2022 ASA Monitoring Milestone Report
3rd Quarter 2022 (Actual Results through September)

ASA Name: Overtime Spening Reductions

ASA Description: 4 ASA's are being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	4.507	0	20.837	0	22.051	0	22.249	0	21.925	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	1.838	1.838	1.838	1.838	1.838	1.838	1.838	1.838	1.838	1.838	1.838	1.838	22.051
Actual/Proj.	1.412	1.412	1.412	1.838	1.838	1.838	1.811	1.838	1.838	-	-	-	15.234
Month Var.	(0.426)	(0.426)	(0.426)	-	-	-							
YTD Var.	(0.426)	(0.852)	(1.278)	(1.278)	(1.278)	(1.278)							(1.278)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

**MTA Long Island Railroad
Overtime Spending Reduction Initiatives
3rd Quarter 2022 (Actual Results through September)**

Description			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total			
LIRR	OT	1	90 Percent Weekday Service Plan - Maintain LIRR's existing baseline weekday service plan which is approximately 90% of the pre-COVID service plan.													\$	10.389	
			Yearly														\$	10.389
			Monthly	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$	10.389
			Actual	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$ 0.866	\$	7.792
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.866)	\$ (0.866)	\$ (0.866)	\$
															\$	(2.597)		
LIRR	OT	2	Deploy less staff on overtime as "protection" during unplanned events. - 11% reduction in the Engineering Department modifications overtime budget													\$	1.079	
			Yearly														\$	1.079
			Monthly	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$	1.079
			Actual	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$ 0.090	\$	0.809
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.090)	\$ (0.090)	\$ (0.090)	\$
															\$	(0.270)		
LIRR	OT	4	Reduce Weather-Related Overtime (includes Fringe) Approaching storms will be better evaluated so weather-related overtime will only occur as necessary.													\$	5.111	
			Yearly														\$	5.111
			Monthly	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$	5.111
			Actual	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.399	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$ 0.426	\$	2.528
			Month Var.	\$ (0.426)	\$ (0.426)	\$ (0.426)	\$ -	\$ -	\$ -	\$ (0.027)	\$ -	\$ -	\$ -	\$ -	\$ (0.426)	\$ (0.426)	\$ (0.426)	\$
															\$	(2.582)		
LIRR	OT	5	Reduce the amount of vacancy/absentee coverage in the Stations and Equipment departments. - \$2M of this reduction relates to a 50% cut to Stations absentee coverage (mostly ticket agents/clerks and station appearance maintainers). \$3M of the reduction relates to a 10% reduction in vacancy/absentee coverage in the Equipment Department													\$	5.473	
			Yearly														\$	5.473
			Monthly	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$	5.473
			Actual	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$ 0.456	\$	4.105
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.456)	\$ (0.456)	\$ (0.456)	\$
															\$	(1.368)		
Overtime Consolidated			Yearly												\$	22.051		
			Monthly	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.838	\$	22.051
			Actual	\$ 1.412	\$ 1.412	\$ 1.412	\$ 1.838	\$ 1.838	\$ 1.838	\$ 1.811	\$ 1.838	\$ 1.838	\$ 1.838	\$ -	\$ -	\$ -	\$	15.234
			Month Var.	\$ (0.426)	\$ (0.426)	\$ (0.426)	\$ -	\$ -	\$ -	\$ (0.027)	\$ -	\$ -	\$ -	\$ (1.838)	\$ (1.838)	\$ (1.838)	\$	(6.817)
			YTD Var.	\$ (0.426)	\$ (0.852)	\$ (1.278)	\$ (1.278)	\$ (1.278)	\$ (1.278)	\$ (1.305)	\$ (1.305)	\$ (1.305)	\$ (1.305)	\$ -	\$ -	\$ -	\$	(6.817)

Metropolitan Transportation Authority
2022 MTA MNR ASA Monitoring Program
3rd Quarter 2022 results

In 2022, 2 ASA's are being monitored with a full-year savings of \$11.3 million and a reduction of 0 positions.

Results through the third quarter show that \$6.7 million or 79.1% of the planned ASA reductions of \$8.4 million was realized and 0 positions were reduced. Additionally, 59.3% of the planned full-year savings has been realized.

All ASA's met the targeted savings for the quarter, except:

- MNR OT-1
 - Maintain the June 2020 East of Hudson Reduced Service
 - In June 2021, Metro-North enhanced its peak service, adding 24 trains during the peak periods on the East of Hudson lines. In August 2021, MNR increased full weekday service to 82% of the pre-pandemic schedule and weekend service to full pre-pandemic levels. In March 2022, MNR increased full weekday service to 89% of the pre-pandemic schedule and weekend service to full pre-pandemic levels.

MTA Metro-North Railroad
2022 ASA Monitoring Milestone Report
3rd Quarter 2022 (Actual Results through September)

ASA Name: Overtime Spening Reductions

ASA Description: 2 ASA's are being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20					11.252	0	12.292	0	12.559	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.938	0.938	0.938	0.938	0.938	0.938	0.938	0.938	0.938	0.938	0.938	0.938	11.252
Actual/Proj.	0.741	0.741	0.741	0.741	0.741	0.741	0.741	0.741	0.741	-	-	-	6.673
Month Var.	(0.196)	(0.196)	(0.196)	(0.196)	(0.196)	(0.196)	(0.196)	(0.196)	(0.196)	(0.938)	(0.938)	(0.938)	
YTD Var.	(0.196)	(0.392)	(0.589)	(0.785)	(0.981)	(1.177)	(1.374)	(1.570)	(1.766)	-	-	-	(4.579)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

**MTA Metro-North Railroad
Overtime Spending Reduction Initiatives
3rd Quarter 2022 (Actual Results through September)**

Description			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total		
MNR	OT	1	Maintain the June 2020 East of Hudson Reduced Service Schedule	Yearly											\$ 7.867		
			Monthly	\$ 0.656	\$ 0.656	\$ 0.656	\$ 0.656	\$ 0.656	\$ 0.656	\$ 0.656	\$ 0.656	\$ 0.656	\$ 0.656	\$ 0.656	\$ 0.656	\$ 0.656	\$ 7.867
			Actual	\$ 0.459	\$ 0.459	\$ 0.459	\$ 0.459	\$ 0.459	\$ 0.459	\$ 0.459	\$ 0.459	\$ 0.459	\$ 0.459	\$ 0.459	\$ 0.459	\$ 0.459	\$ 4.134
			Month Var.	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.656)
			YTD Var.	\$ (0.196)	\$ (0.392)	\$ (0.589)	\$ (0.785)	\$ (0.981)	\$ (1.177)	\$ (1.374)	\$ (1.570)	\$ (1.766)					
MNR	OT	3	Reduction in Overtime Assigned to Prepare/Respond to Weather Events	Yearly											\$ 3.386		
			Monthly	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 3.386
			Actual	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 0.282	\$ 2.539
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.282)	\$ (0.282)	\$ (0.282)	\$ (0.282)
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -				
Overtime Consolidated			Yearly												\$ 11.252		
			Monthly	\$ 0.938	\$ 0.938	\$ 0.938	\$ 0.938	\$ 0.938	\$ 0.938	\$ 0.938	\$ 0.938	\$ 0.938	\$ 0.938	\$ 0.938	\$ 0.938	\$ 0.938	\$ 11.252
			Actual	\$ 0.741	\$ 0.741	\$ 0.741	\$ 0.741	\$ 0.741	\$ 0.741	\$ 0.741	\$ 0.741	\$ 0.741	\$ 0.741	\$ -	\$ -	\$ -	\$ 6.673
			Month Var.	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.196)	\$ (0.938)	\$ (0.938)	\$ (0.938)	\$ (0.938)
			YTD Var.	\$ (0.196)	\$ (0.392)	\$ (0.589)	\$ (0.785)	\$ (0.981)	\$ (1.177)	\$ (1.374)	\$ (1.570)	\$ (1.766)					

**Metropolitan Transportation Authority
2022 MTA B&T ASA Monitoring Program
3rd Quarter 2022 results**

In 2022, 1 ASA is being monitored with a full-year savings of \$4.8 million and a reduction of 0 positions.

Results through the third quarter show that \$3.6 million or 100.0% of the planned ASA reductions of \$3.6 million was realized and 0 positions were reduced. Additionally, 75.0% of the planned full-year savings has been realized.

All ASA's met the targeted savings for the quarter.

MTA Bridges & Tunnels
2022 ASA Monitoring Milestone Report
3rd Quarter 2022 (Actual Results through September)

ASA Name: Overtime Spening Reductions

ASA Description: 1 ASA is being monitored in 2022

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	5.322	0	4.677	0	4.766	0	4.823	0	4.911	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.397	0.397	0.397	0.397	0.397	0.397	0.397	0.397	0.397	0.397	0.397	0.397	4.766
Actual/Proj.	0.397	0.397	0.397	0.397	0.397	0.397	0.397	0.397	0.397	-	-	-	3.575
Month Var.	-	-	-	-	-	-	-	-	-	(0.397)	(0.397)	(0.397)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(1.192)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

